

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of  
Serial Number  
Filed  
Group Art Unit  
For

Examiner  
Attorney Docket No.



Ripley et al.  
09/677,476  
October 02, 2000  
Not Assigned  
**System And Method For Visually Representing a Hierarchical Database and Its Similarity Relationships**  
Not Assigned  
800433

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) AND 1.27 (c) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: InfoGlide Corporation  
ADDRESS OF SMALL BUSINESS CONCERN: 11100 Metric Blvd Ste 750  
Austin, Texas 78759

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees to the Patent and Trademark Office, in that the number of employees of the business concern, including those of affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when wither, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contractor law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application listed above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9 (c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d), or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization  
☐ each such person, concern or organization is listed below

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:  
TITLE OF PERSON IF OTHER THAN OWNER:  
ADDRESS OF PERSON SIGNING:

John Valentine  
President  
11100 Metric Blvd. Ste 750, Austin, Texas 78759

SIGNATURE:  
DATE:

*John Valentine*  
1-04-01

# DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **System And Method For Visually Representing a Hierarchical Database and Its Similarity Relationships**, the specification of which (check one):

☐ Is attached hereto

☒ Was filed on October 2, 2000, as Application Serial No. 09/677,476 and (if applicable) was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendments referred to above. I acknowledge the duty to disclose information of which I am aware and which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **John R. Ripley**

Inventor's Signature: \_\_\_\_\_

Date: 01/02/01

Post Office Address and Residence: **1415 Baffin Cove, Round Rock, Texas 78664**

Citizenship: **Canada**

Full name of second inventor: **Steve C. Wotring**

Inventor's Signature: \_\_\_\_\_

Date: 1/8/01

Post Office Address and Residence: **2817 Lariat Trail, Austin, Texas 78734**

Citizenship: **United States of America**

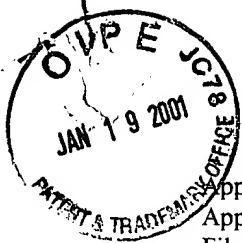
Full name of third inventor: **Gordon C. Hicks**

Inventor's Signature: \_\_\_\_\_

Date: 2001-01-04

Post Office Address and Residence: **2030 Golden Bear Drive, Round Rock, Texas 78664**

Citizenship: **United States of America**



Applicant or Patentee: Ripley et al  
Application No.: 09/677,476  
Filed: October 2, 2000  
Title: System And Method For Visually Representing a Hierarchical  
Database and its Similarity Relationships  
Attorney's Docket No.: 800433

#### ELECTION UNDER 37 CFR 3.73 AND POWER OF ATTORNEY

The undersigned, being the Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby revokes all previous Power of Attorney and hereby appoints the following attorneys, as its agents with full power of substitution to prosecute the subject application and to transact all business in the Patent and Trademark Office connected therewith: Douglas D. Russell, Registration No. 40,152; and Gail M. Taylor Russell, Registration No. 36,290.

Please send all correspondence and direct all telephone calls to:

Douglas D. Russell  
4807 Spicewood Springs Road  
Building 1, Suite 1200  
Austin, Texas 78759-8444  
Telephone (512) 338-4601  
Facsimile (512) 338-4651

Pursuant to 37 C. F. R. § 3.73, the undersigned has reviewed the Assignment to Infoglide Corporation, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

ASSIGNEE: **INFOGLIDE CORPORATION**  
**11100 Metric Blvd Ste750**  
**Austin, Texas 78759**

NAME OF PERSON SIGNING: **John Valentine**  
TITLE IN ORGANIZATION: **President**  
ADDRESS OF PERSON SIGNING: **11100 Metric Blvd Ste750**  
**Austin, Texas 78759**

SIGNATURE:  Date 1/4/01

Assignment: ☒ Concurrently filed  
☐ Previously recorded  
Date:  
Reel:  
Frames: